

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE PATENT OFFICE

#### IN RE APPLICATION:

Title: LOCKING AEROSOL DISPENSER

Applicant: Patrick Timothy Yerby et al. Art Unit: 3754

Serial No.: 10/625,421 Examiner:

Filed: 07/21/2003 Attorney File No: 2003-0071

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT SUBMITTED PRIOR TO FIRST OFFICE ACTION

37 C.F.R 1.97 - 37 C.F.R 1.99

Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

### BUNDLE \_1\_ of \_2\_.

- 1. Enclosed is form PTO-1449 listing references that may be material to the examination of the subject pending patent application.
- 2. Enclosed are copies of the references listed in the above form PTO-1449.
- 3. The above Applicant(s) submitting the above references to which applicant(s) is aware of in accordance with the duty of disclosure required by 35 CFR 1.56.
- 4. Applicant(s) requests the U.S. Patent Office consider the references listed in the enclosed form PTO-1449 during the examination of the subject pending patent application.
- 4. While the references listed in the Information Disclosure Statement may be material, pursuant to 37 CFR 1.56, the Information Disclosure Statement is not intended to constitute an

- admission that any reference is prior art for this invention unless specifically designated as such.
- 5. The filing of this Information Disclosure Statement shall not be construed to mean that research has been made or the existence of other material information. 37 CFR 1.568 & 37 CFR 1.97(b).
- 6. This Information Disclosure Statement is being submitted prior to the issuance of a first office action from the United States Patent Office in the subject application.

Respectfully submitted,

FRIJOUF, RUST & PYLE, P.A.

David A. Frijouf, Reg. 50,422 201 East Davis Boulevard

Tampa, FL 33606 (813) 254-5100

Attorneys for Applicant

#### **Deposit Account**

Please charge any deficiency in fees or credit any overpayment arising out of this correspondence to Deposit Account No. 06-2120.

#### Certificate of Mailing

It is hereby certified that the foregoing correspondence and fee is being placed in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450 and mailed by first class mail, postage prepaid, this /o day of May, 2004

David A. Frijouf

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

Sheet

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of

Application Number 10/625,421

Filing Date July 21, 2003

First Named Inventor P. T. Yerby et al.

Art Unit 3754

Examiner Name

Attorney Docket Number 2003-0071

<del></del>			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	Number-Kind Code <sup>2 (if known)</sup> US- D 293,213	12-15-1987	Crapser	
	2	US- D 293,214	12-15-1987	Crapser	
	3	<sup>US-</sup> 1,265,177	05-07-1918	Coleman	
	4	<sup>US-</sup> 2,765,959	10-09-1956	Elliott	
	5	<sup>US-</sup> 2,821,048	01-28-1958	Efford et al.	
	6	<sup>US-</sup> 2,910,391	10-27-1959	Toulmin, Jr.	
	7	US- 2,935,232	05-03-1960	Thomas	
	8	<sup>US-</sup> 3,003,662	10-10-1961	Meshberg	
	9	<sup>US-</sup> 3,039,659	06-19-1962	Sagarin	
	10	<sup>US-</sup> 3,272,392	09-13-1966	Meshberg	
	11	<sup>US-</sup> 3,618,827	11-09-1971	Melocchi	
	12	<sup>US-</sup> 3,759,431	09-18-1973	Vos	
<u> </u>	13	<sup>US-</sup> 3,887,115	06-03-1975	Petterson	
	14	US- 3,888,392	06-10-1975	Van Coney	<u> </u>
	15	us- 3,893,596	07-08-1975	Beres et al.	
	16	<sup>US-</sup> 3,979,163	09-07-1976	Beard	
	17	<sup>US-</sup> 4,117,958	10-03-1978	Spitzer et al.	
_	18	<sup>US-</sup> 4,186,853	02-05-1980	White	
	19	<sup>US-</sup> 4,254,899	03-10-1981	van Lit	

		FORE	IGN PATENT DOCU	MENTS		
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				T°
	1	GB 2,031,525A	04-23-1980	Smrt		
·•	2	GB 2,238,580A	06-05-1991	McKlinstray et		
	3					
	4					_
	5					
	6					

Examiner	Date		
	Considered		
Signature	Considered	<b>j</b>	
~		7	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

2

Sheet

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/625,421	-	
Filing Date	July 21, 2003		
First Named Inventor	P. T. Yerby et al.		
Art Unit	3754		
Examiner Name			
Attorney Docket Number	2003-0071		

• •			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (If known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	<sup>US-</sup> 4,328,911	05-11-1982	Knickerbocker	
	2	<sup>US-</sup> 4,354,621	10-19-1982	Knickerbocker	
	3	<sup>US-</sup> 4,378,081	03-29-1983	van Lit	
	4	<sup>US-</sup> 4,416,398	11-22-1983	Knickerbocker	
	5	<sup>US-</sup> 4,416,399	11-22-1983	Parr et al.	
	6	<sup>US-</sup> 4,426,025	01-17-1984	Knickerbocker	
	7	<sup>US-</sup> 4,426,026	01-17-1984	Knickerbocker	
	8	<sup>US-</sup> 5,263,616	11-23-1993	Abplanalp	
	9	<sup>US-</sup> 5,335,832	08-09-1994	de Laforcade	
	10	<sup>US-</sup> 5,385,272	01-31-1995	Aoun	
·	11	<sup>US-</sup> 5,503,303	04-02-1996	LaWare et al.	
	12	<sup>US-</sup> 5,791,524	08-11-1998	Demarest	
	13	<sup>US-</sup> 5,957,336	09-28-1999	Radassao et al.	
	14	<sup>US-</sup> 6,010,042	01-04-2000	Boucher et al.	
	15	<sup>US-</sup> 6,491,187	12-10-2002	Walters	
	16	US-			
	17	US-			
	18	US-			
	19	US-			

	FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages				
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T <sup>6</sup>			
	1								
	2								
	3								
	4								
	5								
	6								

Examiner Date	
Signature Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.